

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of | |) |
| | Ken Tsuzuki et al. |) |
| | |) |
| Serial No.. | 10/523,665 |) Art Unit |
| | |) 2873 |
| Filed: | February 4, 2005 |) |
| | |) |
| Confirmation No.: | 8452 |) |
| | |) |
| For: | SEMICONDUCTOR OPTICAL CONVERTER |) |
| | |) |
| Examiner: | Timothy J. Thompson |) |

2nd SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof that is not a US patent document is also enclosed.

Filing of Information Disclosure Statement under 37 CFR § 1.97(e)(1)

Applicant hereby asserts that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this Information Disclosure Statement.

Non-Prior Art Documents

Attached for the examiner's information is an European Search Report for Application No. 04719592.0, dated October 5, 2007 which is of same family as the present US patent application.

Dated this 6th day of December 2007.

Respectfully submitted,

/Dana L. Tangren/ Reg. # 37246
DANA L. TANGREN

Attorney for Applicant
Registration No. 37,246
Customer No. 022913
Telephone No. 801.533.9800

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